

Deformations of determinantal schemes  
and modules of max grade

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Main result, set-up and history.

Let  $k = \bar{k}$ ,  $R = k[x_0, \dots, x_n]$  poly. ring

Given  $t \times (t+c-1)$  matrix  $\alpha$ ,  $t \geq 2, c \geq 2$ , s.t.

$$\varphi: \underbrace{\bigoplus_{i=1}^t R(b_i)}_F \xrightarrow{\alpha^T} \underbrace{\bigoplus_{j=0}^{t+c-2} R(a_j)}_G$$

Let  $M = \text{coker } \varphi^*$ ,  $\varphi^*: G^* \rightarrow F^*$

Let  $I = I_t(\varphi) = \text{ideal of max minors}$

Def

$$\text{Put } A := \frac{R}{I}$$

a) Then  $\mathcal{X} := \text{Proj}(A)$  (resp.  $A$ ) is standard determ.  
scheme (resp. algebra)  
 $\Leftrightarrow \text{codim}_R A = c$  i.e. the largest possible

b) good determ.  $\Leftrightarrow$  standard determ.  
and generic complete intersection

c)  $M$  has max grade  $\Leftrightarrow A$  er stand. determ.  
(in which case  $I = \text{ann}(M)$ )

Thm (Hochster, Eagon-Northcott)

$A$  is CM (provided  $A$  is stand-determ.)

=

Indeed we have an induced map

$$G^* \otimes F \rightarrow R$$

and more generally an  $R$ -free res. (Eagon-Northcott)

(EN)

$$\begin{aligned} 0 \rightarrow \Lambda^{t+c-1} G^* \otimes S_{c-1}(F) \otimes \Lambda^t F &\rightarrow \Lambda^{t+c-2} G^* \otimes S_{c-2}(F) \otimes \Lambda^t F \\ \rightarrow \dots \rightarrow \Lambda^t G^* \otimes S_0(F) \otimes \Lambda^t F &\rightarrow R \rightarrow A \rightarrow 0 \end{aligned}$$

Hence  $\text{pd } A = c$  (the codim)  $\Rightarrow$  A is CM

=

Let B be cokernel of  $F \rightarrow G$   $\Rightarrow$

$$0 \rightarrow \text{Hom}(B, R) \rightarrow G^* \rightarrow F^* \rightarrow M \rightarrow 0$$

The following  $R$ -free resolution (Buchsbaum-Rim)  
is a (min.) res. of  $\text{Hom}(B, R)$  and hence of  $M$ :

(BR)

$$\begin{aligned} 0 \rightarrow \Lambda^{t+c-1} G^* \otimes S_{c-2}(F) \otimes \Lambda^t F &\rightarrow \Lambda^{t+c-2} G^* \otimes S_{c-3}(F) \otimes \Lambda^t F \\ \rightarrow \dots \rightarrow \Lambda^{t+1} G^* \otimes S_0(F) \otimes \Lambda^t F &\rightarrow G^* \rightarrow F^* \rightarrow M \rightarrow 0 \end{aligned}$$

$\Rightarrow M$  is a max. CM

DEF

$$K_c := \dim_k \text{Hom}(B, R(\alpha_{t+c-2}))$$

$A$ -module

(3)

Suppose

$$a_0 \leq a_1 \leq a_2 \leq \dots \quad \text{and} \quad b_1 \leq b_2 \leq \dots \leq b_t$$

Consider

$$G^* = \bigoplus R(-a_j) \xrightarrow{d} F^* = \bigoplus R(-b_i)$$

and the

degree matrix,  $\mathbf{d}$ , of  $d$  =

$$\begin{bmatrix} a_0 - b_1 & a_1 - b_1 & a_2 - b_1 & \dots \\ a_0 - b_2 & a_1 - b_2 & \dots & \dots \\ \vdots & \vdots & & \\ a_0 - b_t & a_1 - b_t & \dots & \dots \end{bmatrix}$$

Remark

- a) Determ. schemes with fixed  $\mathbf{d}$  have the same Hilbert poly.  $p(t)$
- b) Suppose  $\mathbf{d}$  is min. ( $a_j - b_i = 0 \Rightarrow d_{ij} = 0$ ), then we have by (EN)

$\exists$  stand. determ.  $\Leftrightarrow \exists$  good determ.  $\Leftrightarrow a_{i-1} > b_i$   
 $\forall i = 1, 2, \dots, t$

(otherwise "too many zero's in  $\mathbf{d}$ ")

Def. Let

$$W(\underline{b}; \underline{a}) \subseteq \text{Hilb}^{p(t)}(\mathbb{P}^m)$$

be the locus of all good determ. schemes with fixed  $\mathbf{d}$ .

Remark

$W(\underline{b}, \underline{a})$  is irreducible

(4)

Indeed let  $\mathbb{V} = \mathrm{Hom}_{\mathcal{O}_F}(F, G)$  be the affine scheme  $A^n$  parametrizing all morph.  $F \rightarrow G$ ,

$$N = \sum_m (a_j - b_i + m) =: \mathrm{hom}(F, G)$$

Since  $\exists$  open  $\mathbb{U} \subseteq \mathbb{V}$  and a dominating morphism

$$\mathbb{U} \longrightarrow W(\underline{b}; \underline{a}),$$

it follows that  $W(\underline{b}; \underline{a})$  is irreducible OK

Continuing this argument, we get

Proposition [KMMNP, 01] and [KM, 05]

$$\dim W(\underline{b}; \underline{a}) \leq \mathrm{hom}(F, G) - \mathrm{aut}(F) - \mathrm{aut}(G) + \mathrm{hom}(G, F) + \mathrm{aut}(B)$$

where  $\mathrm{aut}(M) = \mathrm{hom}(M, M)$



$$\dim W(\underline{b}; \underline{a}) \leq \lambda_c + k_3 + k_4 + \dots + k_c \quad \text{where}$$

$$\lambda_c = \sum_m (a_j - b_i + m) - \sum_n (a_j - a_i + n) - \sum_n (b_j - b_i + n) + \sum_n (b_i - a_j + n) + 1$$

Conjecture [KM, 05] Suppose  $a_{i-2} \geq b_i$  for all  $i=1, 2, \dots, t$   
(or something slightly stronger)

Equality always holds

(also for  $n-c=0$ , i.e. for zero-dim schemes  
some explicit given counterexample [KM, 09])

Theorem 1 [K,10] Equality always holds for  $m-c \geq 1$ .

Remark

$c=2 \Rightarrow \dim W(\underline{b}; \underline{a}) = \lambda_2$  by [Ellingsrud, 75]

$c=3 \Rightarrow \dim W(\underline{b}; \underline{a}) = \lambda_3 + K_3$  is mostly

$4 \leq c \leq 5$  is proved in [KM, 05]

(supposing  $\text{char } k = 0$  if  $c=5$ )

[KMMNP, 01]

If  $c \geq 5$  and  $a_{t+3} > a_{t-2}$  (and  $a_0 > b_t$ ), then equality always holds, also for  $m-c=0$  [KM, 09]

Problem

Is  $\overline{W(\underline{b}; \underline{a})}$  an irreducible component of  $\text{Hilb}^{P(t)}(\mathbb{P}^m)$ ?

Is  $W(\underline{b}; \underline{a})$  generically smooth? (i.e.  $\text{Hilb}^P$  smooth along some open  $\subseteq W(\underline{b}, \underline{a})$ )

Theorem 2 [K,10]. Suppose  $a_i - \min(3, t) \geq \ell_i \quad \forall i$

Then  $\overline{W(\underline{b}, \underline{a})}$  gen. smooth irr. comp. of  $\text{Hilb}(\mathbb{P}^m)$

Remark

Thm 2 is known for

$c=2$  by [Ellingsrud, 75], and

① True also for  $n-c=1$

②  $\neg$  that any determ. scheme is unobst.

$c=3$  by [KMMNP, 01]. Both ① and ② are false

$c=4$  by [KM, 05]

$c \geq 3$  and  $a_{t+1} > a_t + a_{t-1} - b_t$ , (and  $a_0 > b_t$ ) by [KM, 09]. ① OK

In [KM, 09] we conjecture Thm 2 provided  $a_0 > b_t$

(6)

We just look to

$$m-c \geq 1$$

Example  $\overline{W(b,a)}$  not irred. comp. of Hilb for every  $c \geq 3$

$$\begin{bmatrix} c \\ 1 & 1 & \dots & 1 & 2 \\ 1 & 1 & \dots & 1 & 2 \end{bmatrix} \text{ in } \mathbb{P}^{c+1}, \text{ i.e. } \dim X = 1$$

$c=3 \Rightarrow X$  is a determ. curve in  $\mathbb{P}^4$  of  $(d,g) = (7,3)$

$$\dim_{(X)} \text{Hilb}(\mathbb{P}^4) \geq \chi(N_X) = 5d + 1 - g = \underline{\underline{33}}$$

$$\dim W(0,0;1,1,1,2) \leq \lambda_3 + K_3 = 32 + 0 = \underline{\underline{32}}$$

$c=4$  curve in  $\mathbb{P}^5$ ,  $(d,g) = (9,4)$

$$h^0(N_X) - h^1(N_X) = 6d + 2(1-g) = \underline{\underline{48}}$$

$$\dim W(0,0;1,1,1,1,2) \leq 8 \cdot 6 + 2 \cdot 21 - (16 + 4 \cdot 6 + 1) - 4 + 1 = \underline{\underline{46}} \text{ etc}$$

Problem Why do we sometimes have

$$\overline{W(b,a)} \subsetneq V, \quad V \text{ irred comp. of Hilb}$$

Related problem

Are deformations (liftings) of a determinantal  
always determinantal?

Thm 2 really proves **Yes** in a precise way

(Indeed the answer is **Yes** for every good determ  
scheme satisfying  ${}^0 \text{Ext}_A^i(M, M) = 0$  for  $i=1,2$ )

and hence we get  $\overline{W(b,a)} = V$ , as well as  
gen. smoothness.